



DEP Date Stamp

## TRANSFER APPLICATION FOR A MAINE REDEMPTION CENTER LICENSE

Use this form to request the transfer of a beverage container redemption center license pursuant to *Manufacturers, Distributors, and Dealers of Beverage Containers* 38 M.R.S. §§ 3101-3119 and *Responsibilities under the Returnable Beverage Container Law* 06-096 CMR ch. 426.

### Section 1. Company and Address Information

Redemption Center Name (former): \_\_\_\_\_

Redemption Center Name (new): \_\_\_\_\_

Physical Address (must be the same as the physical address on the current license):

\_\_\_\_\_

Town: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_ County: \_\_\_\_\_

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Transferer Owner or Corporate Name (current license holder): \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Contact E-mail: \_\_\_\_\_

Billing Address: \_\_\_\_\_

Town: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

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Transferee Corporate Name (proposed new license holder): \_\_\_\_\_

Telephone Number: \_\_\_\_\_ Contact E-mail: \_\_\_\_\_

Billing Address: \_\_\_\_\_

Town: \_\_\_\_\_ State: \_\_\_\_\_ Zip: \_\_\_\_\_

### Section 2. Additional Information

Proposed Opening Date: \_\_\_\_\_

Is a dealer/retailer of beverage containers operating a retail space of 5,000 square feet or more at the address of the proposed redemption center? ☐ Yes ☐ No

Are you a distributor registered with the department? ☐ Yes ☐ No

List any other redemption centers in the municipality:

\_\_\_\_\_  
\_\_\_\_\_

Population of proposed town (most recent census): \_\_\_\_\_

If, given the number of redemption centers and the population, there is not an available redemption center license in accordance with 38 M.R.S. 3113(3), please include proof of compelling need. For examples of documentation that can be used to show compelling need, please contact your regional contact, as identified in Section 9 of this form.

### Section 3. Documentation of good standing with the Secretary of State, as applicable

An application submitted by a corporation must be made in the corporation's registered corporate name and must include either documentation that the corporation is in good standing with the Maine Department of the Secretary of State, or a statement signed by a corporate officer affirming that the corporation is in good standing. You may obtain a pdf documenting good standing at <https://apps3.web.maine.gov/nei-sos-icrs/ICRS?MainPage=x>. Please attach.

### Section 4. Evidence of Title, Right, or Interest (TRI)

Attach evidence that the transferee holds title, right, or interest in the property in question, in accordance with *Processing of Applications and Other Administrative Matters* 06-096 CMR ch. 2(10)(D)

Methods of demonstrating TRI include, but are not limited to, the following:

- (1) If you own the property, a copy of the deed(s) to the property must be supplied. You may obtain a pdf of a deed at [MaineRegistryofDeeds.com](http://MaineRegistryofDeeds.com).
- (2) If you have a lease or easement on the property, a copy of the lease or easement must be supplied. The lease or easement must be of sufficient duration and terms, as determined by the Department of Environmental Protection (Department), to permit the proposed construction and reasonable use of the property.
- (3) If you have an option to buy or lease the property, a copy of the option agreement must be supplied. The option agreement must be sufficient, as determined by the Department, to give rights to title, or a leasehold or easement of sufficient duration and terms to permit the proposed construction and use of the property.

### Section 5. Proposed Hours of Operation – provide separate winter/summer hours if applicable

	Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
Hours							

### Section 6. Dealer Agreements and Member Dealer Agreements

The Department cannot license a redemption center without at least one dealer agreement or member dealer agreement. Model agreements can be found on the Department's website.

- Dealer agreements: A “dealer” is a retailer (including restaurants, bars, cafeterias, etc.) that sells beverages in beverage containers. A dealer agreement is an agreement in which the owner of the dealer affirms that, given the location and hours of operation, the redemption center will provide a convenient redemption option for the dealer's customers.
- Member dealer agreement: A member dealer agreement is an agreement in which a redemption center fulfills the redemption responsibilities of a dealer with at least 5,000 sq. ft. of retail space and that is obligated to provide for redemption in accordance with 38 M.R.S. 3106(1).

**Section 7. Required Signatures****SIGNATURE OF TRANSFEREE**

I certify the redemption center will accept and manage all beverage containers with labels that are registered in accordance with 38 M.R.S. § 3105.

I understand that I am responsible for ensuring business operations in accordance with all applicable laws and rules, including *Manufacturers, Distributors, and Dealers of Beverage Containers* 38 M.R.S. §§ 3101-3119 and *Responsibilities under the Returnable Beverage Container Law* 06-096 CMR ch. 426.

I certify under penalty of law that I have personally examined the information submitted in this document and all attachments thereto and that, based on my inquiry of those individuals immediately responsible for obtaining the information, I believe the information is true, accurate, and complete. I, the property owner or lessee, authorize the Department of Environmental Protection (Department) to enter the property that is the subject of this application, at reasonable hours, including buildings, structures or conveyances on the property, to determine the accuracy of any information provided herein. I am aware there are significant penalties for submitting false information, including the possibility of fine and imprisonment.

DATE: \_\_\_\_\_ SIGNATURE: \_\_\_\_\_

NAME: \_\_\_\_\_  
(Print)

TITLE: \_\_\_\_\_  
(If other than applicant, attach letter of agent authorization.)

**SIGNATURE OF TRANSFERER**

I, \_\_\_\_\_, hereby request that my redemption center license  
(First name) (Last name)

#RC-\_\_\_\_\_ be transferred, effective \_\_\_\_\_. This license was issued to  
(Date)

\_\_\_\_\_ at  
(Business name)

\_\_\_\_\_  
(Business address, including municipality)

I am aware that if I decide to re-open my redemption center business, I must apply for and obtain a license as required by state law.

**Any business that acts as a redemption center must obtain and maintain a license with the Maine Department of Environmental Protection (Department).** Any person, firm or corporation who operates a redemption center without the required license commits a civil violation subject to enforcement action and penalties of \$100 to \$10,000 per day in accordance with *Violations* 38 M.R.S. § 347-A and *Penalties* 38 M.R.S. § 349.

\_\_\_\_\_  
(Signature)

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
(Printed name)

## **Section 8. Licensing Fee**

Applicants for a transferred license must submit the \$100.00 application fee with the application.

You may submit this application and fee by mail to:

Container Redemption Program,  
Attn: Ian Hunt  
17 State House Station  
Augusta, Maine 04333-0017

or pay online through our website at: [https://gateway.maine.gov/dep/web\\_dep\\_payment](https://gateway.maine.gov/dep/web_dep_payment)

## **Section 9. Department Contact Information**

Based on your county, if you have questions about completing this application please contact:

Kennebec, Sagadahoc, Lincoln, Knox, Waldo, Franklin, York, Oxford  
Marisa Brennan at 207-458-7542 or [Marisa.Brennan@maine.gov](mailto:Marisa.Brennan@maine.gov)

Hancock, Washington, Penobscot, Cumberland  
Ian Hunt at 207-441-9845 or [Ian.Hunt@maine.gov](mailto:Ian.Hunt@maine.gov)

Aroostook, Somerset, Piscataquis, Androscoggin  
Martin Carriere at 207-816-2297 or [Martin.Carriere@maine.gov](mailto:Martin.Carriere@maine.gov)